

12-18-00

A

12/14/00
jc912 U.S. PTO

To: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

jc825 U.S. PTO
09/738431
12/14/00

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): Richard S. Ginn, et al.

Title: Devices for Sealing Openings Through Tissue and Apparatus and Methods for Delivering Them

I. PAPERS ENCLOSED HERewith FOR FILING UNDER 37 CFR § 1.53(b):

- 23 Page(s) of Written Description
- 8 Page(s) Claims
- 1 Page(s) Abstract
- 3 Sheets of Drawings ☒ Informal ☐ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☐ Declaration
- ☐ Power of Attorney ☐ Separate ☐ Combined with Declaration
- ☐ Assignment to _____ and assignment cover sheet
- ☐ Certified Copy of Priority Document No(s):
- ☒ Information Disclosure Statement w/PTO 1449 ☒ Copy of Citations
- ☐ Preliminary Amendment
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
- ☒ Return Postcard
- ☐ Other:

III. PRIORITY

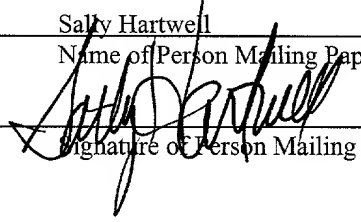
- ☐ Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 .S.C. § 119.

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL494996795US
Express Mail Label No.

December 14, 2000
Date of Deposit

Sally Hartwell
Name of Person Mailing Paper

Signature of Person Mailing Paper

09738431 121400

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

| | | | | | | |
|---|-------|-----------------|----|---|----|--|
| BASIC FILING FEE: | | | | | | \$710.00 |
| Total Claims | 38 | - | 20 | = | 18 | x \$18.00 \$324.00 |
| Independent Claims | 4 | - | 3 | = | 1 | x \$80.00 \$80.00 |
| Multiple Dependent Claims | \$270 | (if applicable) | | | | <input type="checkbox"/> \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | | \$1,114.00 |
| Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28. | | | | | | <input checked="" type="checkbox"/> \$557.00 |
| Misc. Filing Fees (Recordation of Assignment -- \$40) | | | | | | \$0.00 |
| TOTAL FEES DUE HERewith | | | | | | \$557.00 |

V. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of ____.
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of ____.
- ☒ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- ☐ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(949) 567-2300

Please direct all inquiries to William A. English, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: December 14, 2000

By:
William A. English
Reg. No. 42,515